



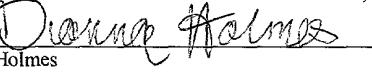
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## UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

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John M. Ananny**

For: **INTERACTIVE RADIO FREQUENCY TAGS**

Application Elements:

- 27 Pages of Specification, Claims and Abstract
- 7 Sheets of Drawings
- 3 Pages Combined Declaration and Power of Attorney - unexecuted

Accompanying Application Parts:

- Return Receipt Postcard
- Small Entity Statement(s)

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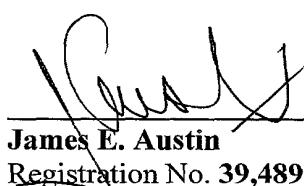


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**PATENT APPLICATION**

**INTERACTIVE RADIO FREQUENCY TAGS**

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## INTERACTIVE RADIO FREQUENCY TAGS

### BACKGROUND OF THE INVENTION

The present invention relates generally to the field of radio frequency tag technology. More specifically, the present invention relates to passive radio frequency tags which are capable of changing state in response to an external stimulus.

Radio frequency (RF) tag technology has conventionally been used for identifying objects in radio frequency identification (RF ID) systems. In an RF ID system, information is carried on a tag (transponder) which is typically attached to an object of interest. When the tag comes within a RF signal field generated by a reader (transceiver) the tag responds to the incident RF signal. Typically, the tag reflects the incident RF carrier back to the reader in a form modulated by the tag according to the information with which the tag has been previously programmed.

RF tags may be passive or active. Active tags are powered by a battery which is incorporated into the tag. Passive tags do not have batteries. They derive their power inductively or capacitively from the RF signal transmitted by the reader to interrogate the tag.

Figure 1A illustrates a conventional passive RF tag. The tag 100 has two main components: a semiconductor chip (integrated circuit (IC)) 102 having interface circuits, logic, and memory (not shown); and an antenna 104. The interface circuits of the IC 102 portion of a passive RF tag typically include an analog and a digital circuit. The analog circuit detects and decodes the RF signal and provides power to

the digital circuit using the RF field strength of the reader. The digital circuit implements an information protocol which has been previously programmed into the tag. RF tags generally also include a variety of other discrete components, such as capacitors, clocks, and interconnections between components, a substrate for 5 mounting components, and an enclosure.

Figure 1B depicts a block diagram providing additional structural information for a typical passive RF tag. The figure is not a schematic depiction of an RF tag, but is intended as an illustration of the main function elements of a typical tag and their interconnections to provide a basis for describing the actions that take place when a 10 tag (transponder) enters the RF field of a reader (transceiver), in order to assist in the understanding of the operation of RF tags.

An RF signal from a transceiver is received by the tag's antenna 110 when the tag enters the reader's RF field. From the antenna 110, the signal is typically smoothed by a capacitor 111, and split into a portion that provides the power for the tag, and a portion that provides the data to be read by and responded to by the tag's 15 programmed logic. The power portion of the signal goes into a rectifier 112 (AC to DC converter) and the emerging DC signal is smoothed by a capacitor 104. The data portion of the split signal is conveyed along a conductive line 116 to a data extractor 118 which demodulates the signal and extracts the digital binary command data for the logic processor 120. The logic processor 120 receives the command and carries 20 out the command instructions, which typically involves reading data from the tag's memory 122. The data read from the memory 122 is then output to a modulator 124 which modulates the digital data into an analog signal. The signal is then conveyed to

the antenna 110 and transmitted back to the transceiver. RF tags also typically include additional elements not illustrated in Figure 1B or discussed above, such as encoders/decoders and clock extractors.

As noted above, radio frequency (RF) tag technology, particularly passive RF tag technology, has conventionally been used for identifying objects in radio frequency identification (RF ID) systems. Thus the conventional application of RF tags has been in tracking objects of interest. When the tag comes within a RF signal field generated by a reader (transceiver) the tag responds to the transceiver's incident RF signal alerting the transceiver of its presence. A typical reader includes a computer processor which issues commands to a RF transmitter and receives commands from an RF receiver. The processor may also perform one or more functions based on the tags presence in its RF field.

For example, RF tags are used by airlines to track passenger luggage. When a passenger checks a piece of luggage it is tagged with an RF ID tag programmed with an identifier for that piece of luggage. When the luggage tag comes within the RF signal field of one of many RF ID readers located throughout the luggage system, the tag may be interrogated by the reader and the location of the luggage may be reported to a central tracking system by the reader's processor. Similarly, RF tag technology is used in "card key" systems. A card key contains a RF ID tag identifying the holder as a person authorized to pass through a door or gate. When the card comes within the RF signal field of an RF ID reader located at a door or gate, the tag may be interrogated by the reader and the authorization of the cardholder to pass may be

confirmed, the door or gate may be opened, and the cardholder's passage recorded by the reader's processor.

While conventional implementations of RF tag technology have been useful in such tracking applications, the role of RF tags in these applications is static. That is, once a passive RF tag is programmed with information, it is simply polled by a reader. The tag may be reprogrammed with different information, but at any given time the tag has just one information state. The present inventors believe that RF tag technology offers the potential for a whole array of unexplored applications based on dynamic RF tags, that is, RF tags that are capable of existing in more than one information state without reprogramming. Accordingly, there is a need for the development of such dynamic, interactive RF tag technology.

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## SUMMARY OF THE INVENTION

The present invention meets this need by providing interactive RF tags. These tags are responsive to external stimuli to change state. In one aspect, interactive radio frequency tags in accordance with the present invention preferably include a passive 5 radio frequency transponder, having an antenna, an interface for receiving an external stimulus, and one or more integrated circuits responsive to the external stimulus received at the interface to change the state of the transponder. The nature of the interface and the corresponding external stimuli, as well as the change of state may vary substantially while remaining consistent with this inventive concept.

10 For example, a tag may be configured to change state when one or more buttons on the tag is pushed by a user. Variations on this idea include a “single button tag” that may only be read when a button on the tag is pushed; or a “switch” tag that alternates between memories (or memory addresses) that are accessed for information when the tag is polled by a reader depending on whether or not a button is 15 pushed, and others.

Another type of interactive RF tag is a “sensor tag” which changes state in response to a particular environmental stimulus. For example, if a tag is exposed to light or heat that surpasses a given threshold, an alternate memory location containing information reflecting this fact is accessed when the tag is polled by a reader.

20 In addition, either of these “button” or “sensor” features may be combined with an output feature which visually, audibly, tactiley or otherwise signals the state or change of state of an RF tag.

In one aspect, the invention provides an interactive radio frequency tag apparatus. The apparatus includes a passive radio frequency transponder, including an antenna, an interface for receiving an external stimulus, and one or more integrated circuits responsive to an external stimulus received at the interface to change the state  
5 of the transponder.

In another aspect, the invention provides a method of changing the response provided by a polled radio frequency tag. The method involves providing an interactive radio frequency tag apparatus, having a passive radio frequency transponder, including, an antenna, an interface for receiving an external stimulus, and  
10 one or more integrated circuits responsive to an external stimulus received at the interface to change the state of the transponder, and applying an external stimulus to the interface to change the state of the transponder.

In yet another aspect, the invention provides a radio frequency tag apparatus. The apparatus has a passive radio frequency transponder, including an antenna, an  
15 integrated circuit, and an output device responsive to a radio frequency signal received at said antenna to generate an output signal.

These and other features and advantages of the present invention will be presented in more detail in the following specification of the invention and the accompanying figures which illustrate by way of example the principles of the  
20 invention.

**BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1A depicts a block diagram illustrating a conventional passive RF tag.

Figure 1B depicts a block diagram illustrating additional details of a typical passive RF tag.

5       Figures 2A-C depict state diagrams illustrating changes of state of various implementations of interactive RF tags in accordance with preferred embodiments of the present invention.

10      Figure 3-10 depict simplified block diagrams illustrating various implementations of interactive RF tags in accordance with preferred embodiments of the present invention.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Reference will now be made in detail to preferred embodiments of the invention. Examples of the preferred embodiments are illustrated in the accompanying drawings. While the invention will be described in conjunction with these preferred embodiments, it will be understood that it is not intended to limit the invention to one or more preferred embodiments. On the contrary, it is intended to cover alternatives, modifications, and equivalents as may be included within the spirit and scope of the invention as defined by the appended claims. In the following description, numerous specific details are set forth in order to provide a thorough understanding of the present invention. The present invention may be practiced without some or all of these specific details. In other instances, well known process operations have not been described in detail in order not to unnecessarily obscure the present invention.

The present invention provides interactive radio frequency transponders, frequently referred to as RF tags. These tags are responsive to external stimuli to change state. The change of state of a tag may produce a different output from the tag when it is polled upon entering the RF field of a radio frequency transceiver, frequently referred to as a RF tag reader. The change of state produced by the external stimulus may be reversible, such as in the case of many implementations of “button tags,” wherein a change of state results when one or more buttons on the tag is pushed by a user. This situation is illustrated in Figure 2A, which shows a state diagram 200 in which an external stimulus (such as a user depressing a button on the

tag) produces a change of state from STATE 1 to STATE 2, or vice versa, as represented by the double-headed arrow.

Alternatively, the change of state produced by the external stimulus may be irreversible, such as in the case of many implementations of “sensor tags,” wherein a

5 change of state results when a given environmental condition is experienced by the tag (e.g., a temperature threshold is surpassed). This situation is illustrated in Figure 2B, which shows a state diagram 210 in which an external stimulus (such as a user depressing a button on the tag) produces a change of state from STATE 1 to STATE 2, or vice versa, as represented by the single-headed arrow.

10 An interactive RF tag in accordance with the present invention may also be configured to have a plurality of states in which it may operate depending on a particular external stimulus received. This situation is represented by the “web” structure illustrated in Figure 2C, which shows a state diagram 220 in which an external stimulus (such as a user depressing a button on the tag) produces a change of state from STATE 1 to STATE 2, or vice versa. Additionally, the change of state may be from STATE 1 to any of a number of other states (e.g., STATE 3, STATE 4, or STATE 5 in the illustrated embodiment), or from one of those states to another state, as represented by the double-headed arrows, when another external stimulus (such as a user depressing a different button on the tag) is applied to the tag.

15 20 latter implementation may find particular use in applications where the interactive tag is used as a controller, and each state results in a different control command being returned to a tag reader in a device under control of the tag.

Various implementations of the invention will now be described with reference to simplified block diagrams. RF tag structures and configurations are well known to those of skill in the art, and implementation details of RF tags, beyond the functional elements described herein, are not the focus of the present invention. One of ordinary skill in the RF tag technology art would be able to make and implement tags in accordance with the present invention without specific guidance with regard to the combination and configuration of all tag structural elements, and the present invention is not limited by any particular implementation. Applications of interactive RF frequency tags in accordance with the present invention are also discussed below.

Interactive radio frequency tags in accordance with the present invention preferably include a passive radio frequency transponder, having an antenna, an interface for receiving an external stimulus, and one or more integrated circuits responsive to the external stimulus received at the interface to change the state of the transponder. The nature of the interface and the corresponding external stimuli, as well as the change of state may vary substantially while remaining consistent with this inventive concept, as discussed in more detail below.

#### *Button Tags*

Tags in accordance with the present invention may be configured to change state when a user contacts and/or manipulates an interface on the tag, for example, one or more buttons on the tag is pushed or turned by a user (“button tags”). Variations on this idea include a “single button tag” that may only be read when a button on the tag is pushed; a “switch” tag that switches between memories (or memory addresses) that are accessed for response information when the tag is polled by a reader

depending on whether or not a button, or which button, is pushed; an “analog switch” tag that provides a variable response accessed from a memory based on the amount of pressure the user applies to a pressure-sensitive pad, or the movement a user applies(e.g., sliding or turning) to a motion-sensitive button on the tag. Figures 3 5 through 7 illustrate various embodiments of user contact tags in accordance with the present invention.

Figure 3 illustrates a first embodiment of a (passive) interactive radio frequency transponder in accordance with the present invention. As with a conventional RF tag, the transponder 300 has a semiconductor chip (integrated circuit 10 (IC)) 302 with RF circuits, logic and memory, and an antenna 304. In addition, this embodiment of the present invention includes a switch 306 which interrupts the signal flow from the antenna 304 to the IC 302. Thus, this transponder 300 may only be read by a radio frequency transceiver when the switch 306 is closed. Closing the switch changes the state of the transponder from unreadable to readable. In a 15 preferred embodiment, this switch 306 is a mechanical switch which is closed by an external stimulus when a user applies pressure to a button on the transponder.

Figure 4A illustrates a second embodiment of an interactive radio frequency transponder in accordance with the present invention. Like the transponder illustrated in Figure 3, the transponder 400 is also a “button tag.” This embodiment, however, 20 has two ICs 402 and 403 together with an antenna 404. The transponder 400 also has a switch 405 which may be in one of two positions 406 or 407, to connect the ICs 402 or 403, respectively. The switch 405 interrupts the signal flow from the antenna 404 to the ICs 402 and 403. Thus, the response provided to a polling transceiver by this

transponder 400 is determined by which position the switch is in. If the switch 405 is position 406, IC 402 is activated and determines the response provided to the polling transceiver. Similarly, if the switch is in position 407, IC 403 is activated and determines the response provided to the polling transceiver.

5       Figure 4B illustrates an alternative embodiment of the embodiment shown in Figure 4A. Like the transponder illustrated in Figure 4A, the transponder 410 has a switch 415. However, in this embodiment, the switch 415 may be in one of three positions 416 or 417 to connect the ICs 412 or 413, respectively, or 418 which is an open circuit position. The switch 415 interrupts the signal flow from the antenna 414 to the ICs 412 and 413. Thus, the response provided to a polling transceiver by this transponder 410 is determined by which position the switch is in. If the switch 415 is position 416, IC 412 is activated and determines the response provided to the polling transceiver. Similarly, if the switch is in position 417, IC 413 is activated and determines the response provided to the polling transceiver. If the switch is in 10 position 418, there are no closed circuits meaning that no power or data reaches either 15 IC in the transponder, so the tag 410 cannot be read.

      A person of skill in the art will recognize that there are many possible alternative implementations of the embodiments of the present invention illustrated in Figures 4A and 4B. For example, rather than the transponder having two separate 20 ICs, it may instead have a single IC in which different memory addresses are accessed depending on which position a switch controlled by a user is in.

      Figure 5 illustrates another embodiment of an interactive radio frequency transponder in accordance with the present invention which illustrates an example of

this concept. The transponder 500 has an IC 502, an antenna 504, and a single switch 506 that closes different circuits depending on its position. In the transponder illustrated in Figure 5, the switch has two positions, each of which results in the closing of a different circuit that provides power to the IC 502 and accesses a different 5 memory address to determine the response provided to a polling radio frequency transceiver.

It should be noted that while the embodiments illustrated and described with reference to Figures 4 and 5 have two alternative closed circuits, passive radio frequency transponders in accordance with the present invention may also be 10 implemented along the same lines, but providing more than two alternatives. For example, as described above with reference to Figure 2C, passive radio frequency tags in accordance with the present invention may have a plurality of possible states, each accessed, for example, by pressing a different button. Such an implementation of the present invention may be useful, for example, as a controller for electronic devices 15 which incorporate a radio frequency transceiver.

In the embodiment described above with reference to Figure 3, the transponder is not seen and read by a polling transceiver unless a button on the transceiver is pushed to close the switch. In Figures 4A and 5, embodiments are illustrated in which one or another circuit is always closed so that a polling transceiver whose field 20 reached the transponder will always receive one or another response, depending on which button is pushed or which position a switch is in. In the embodiment illustrated in Figure 4B the switch interrupting the signal path from the antenna to the IC has a third position, providing the possibility that the transponder may or may not be

powered and read when it comes within the field of a polling transceiver, depending on the switch position.

Figure 6 provides yet another implementation of an interactive transponder in accordance with the present invention. The transponder 600 includes an IC 602 and 5 an antenna 604. There is no switch interrupting the signal path from the antenna to the IC, so the transponder is read whenever it is within the field of a polling transceiver, and a response corresponding to the state of the transponder is provided to the transceiver. The transponder 600 also has a switch 606 connected to the IC 602 which is capable of changing the state of the transponder when closed, for example, 10 by the pushing of a button on the transponder.

Figure 7 illustrates another embodiment of an interactive transponder in accordance with the present invention. The transponder 700 combines elements from previously discussed implementations. It includes an IC 702, an antenna 704, and a switch 706 interrupting the signal path from the antenna to the IC. Thus, the 15 transponder may only be read when the switch 706 is closed, for example, by a button being pushed. In addition, the transponder 700 also has a switch 708 connected to the IC 702 which is capable of changing the state of the transponder when closed, for example, by the pushing of a button on the transponder.

As noted above, it should also be understood that the present invention 20 includes embodiments in which a user interactive interface may provide a variable response (e.g., an “analog switch” as opposed to the discrete response provided by the “digital switches” previously described). Transponders in accordance with this aspect of the present invention preferably include a transducer and a variable voltage

sensor. The transducer converts a user interaction, such as movement on a motion-sensitive pad on the tag, or the pushing of a pressure sensitive pad, into a voltage detected by the variable voltage sensor. The sensor may be configured to detect when a voltage threshold has been reached and cause a change of state in the transponder 5 resulting in a different response being provided to a polling transceiver. Alternatively, real-time response based on the level of user interaction may be provided.

The various configurations addressed herein are implementation details of the present invention, which, given the concept and guided by the principles of the 10 invention, may be determined without difficulty by a person having skill in the art. Exemplary applications for the embodiments of the present invention described above are discussed below.

#### *Sensor Tags*

Another type of interactive RF tag is a “sensor tag.” Like the button tags 15 described above, sensor tags change state in response to a particular external stimulus. However, rather than the external stimulus being user intervention with a button on the tag, in this case the external stimulus is provided by a particular environmental condition. For example, if a tag is exposed to light or heat that reaches a given threshold, an alternate memory location containing information reflecting this fact is 20 accessed when the tag is polled by a reader. Alternatively, real-time (“analog”) response based on the level or change of the environmental condition sensed may be provided. In this way, such a sensor tag or tags may be used to monitor important environmental conditions affecting articles to which the tags are attached.

Figure 8 illustrates a sensor tag implementation of an interactive transponder in accordance with the present invention. The transponder 800 includes an IC 802 and an antenna 804. There is no switch interrupting the signal path from the antenna to the IC, so the transponder is read whenever it is within the field of a polling transceiver, and a response corresponding to the state of the transponder is provided to the transceiver. The transponder 800 also has a sensor 806 connected to the IC 802 which is capable of changing the state of the transponder when a certain external stimulus is applied. The sensor preferably includes a transducer and a variable voltage sensor. The transducer converts an environmental condition into a voltage and the variable voltage sensor detects when a voltage threshold has been reached and causes a change of state in the transponder resulting in a different response being provided to a polling transceiver.

The transducer may be, for example, a photovoltaic cell for detecting light, or a thermal cell for detecting temperature. The change of state occurring in sensor tags such as these may be irreversible, rendering it particularly useful for a variety of applications, as described below. In preferred embodiments, it is not necessary for the tag to be under power in order for the sensor to work since the sensor may respond to an irreversible change in a material property of one of its components in response to a particular environmental condition. Embodiments are also possible wherein a sensor tag in accordance with the present invention may be used to provide real-time response based on the level or change of an environmental condition sensed, such as temperature or pressure, when the tag is under power (i.e., within the RF field of a

corresponding transceiver), for example by accessing different memory locations based on the level of the environmental condition sensed.

Figure 9 illustrates another embodiment of an interactive transponder in accordance with the present invention. The transponder 900 combines elements from 5 both previously discussed button tag and sensor tag implementations. It includes an IC 902, an antenna 904, and a switch 906 interrupting the signal path from the antenna to the IC. Thus, the transponder may only be read when the switch 906 is closed, for example, by a button being pushed. The transponder 900 also has a sensor 10 908, such as that described with reference to Figure 8, connected to the IC 902 which is capable of changing the state of the transponder when a certain external stimulus is applied.

#### *Output Tags*

In addition, the present invention provides interactive RF tags which visually, 15 audibly, tactiley or otherwise signal a state or change of state of an RF tag in response to a particular external stimulus. The external stimulus may be user intervention with a button on the tag or sensation of an environmental condition received at a separate interface as described above, or may be provided by the RF signal itself received at the tag's antenna as discussed below.

For example, “button” or “sensor” features may be combined with an output 20 feature which visually, audibly, tactiley or otherwise signals the state or change of state of an output RF tag. Figure 10 illustrates a preferred embodiment of the present invention which provides an example of such a hybrid output tag. A transponder

1000 has an IC 1002, an antenna 1004, and a switch 1006 on the IC. Thus, the transponder may be read whenever the tag is in the field of an associated transceiver, whether or not the button is pushed. In addition, the transponder 1000 also has an output device 1008 connected to the IC 1002 which is capable of generating a signal 5 when the state of the transponder is changed, for example, by the pushing of a button on the transponder to close the switch 1006.

In a preferred embodiment, the output device may be an LED which lights to indicate a state change. Alternative output devices include speakers which are capable of generating audible signals, such as clicks or beeps, or devices which are 10 capable of generating tactile signals, such as a vibration. Of course, alternative configurations may be used in such output tags in accordance with the present invention. For example, output devices, such as light-emitting diodes (LEDs), may be incorporated into any of the previously described embodiments. Those of skill in the art are familiar with such output devices and, given the principles of the present 15 invention described herein, would be able to fabricate interactive radio frequency transponders in accordance with the present invention.

Another embodiment of a hybrid output tag in accordance with the present invention includes the use of a sensor in place of the switch 1006 of the transponder 1000 illustrated in Figure 10. According to this embodiment, the state of the transponder would change when a given environmental condition was experienced 20 resulting in the activation of the output device 1008 when the transponder is polled by a transceiver.

An additional novel implementation of an output tag involves the incorporation of an output device, such as an LED, into the circuit of the antenna and IC in a passive transponder, so that a signal is generated when the transponder is powered-up upon exposure to the RF field of a polling transceiver. In this instance,  
5 the RF field produced by the polling transceiver provides the external stimulus that causes the change of state in the transponder to produce a signal from the output device. In addition, the RF signal may convey information to change the state of the transponder so that the output device is made to signal or not signal when the transponder is under power.

10 *Applications*

Interactive RF tags in accordance with the present invention have a myriad of potential control and monitoring applications. The small size and versatility of passive RF tags with respect to materials that may be used to house tags provide distinct advantages over alternative technologies, such as optical (e.g. bar code),  
15 magnetic and electronic interfaces which require electrically conductive contacts. RF tags are also not subject to the same limitations and reliability issues as these technologies, such as optical interference, demagnetization, or fouled electrical contacts.

Interactive RF button tags may be used in such items as a “smart business card.” With the smart business card, the user presses the appropriate button on a RF tag business card, causing the relevant IC to make contact with the antenna to activate the tag. The card may be equipped with several buttons, each representing a different item of information. So, for instance, when a user presses the “home phone” button  
20

on the business card, a transceiver polling the card will receive a response indicating the cardholder's home telephone number. The transceiver may be integrated with a telecommunications device, such as a telephone, which dials the telephone number. Similarly, the user may press "office phone" button on the card to have the same

5 happen with the appropriate office number. Pressing a "Fax" button may similarly initiate a fax to the correct number. Pressing an "E-mail" button may signal a transceiver integrated with a networked computer (or other network device) to access e-mail software and put the addressee's name in the "to" field. In these cases, the data required to conduct these actions may be stored in the actual memory of the tag

10 itself. In alternative embodiments, some or all of the data may be stored off the tag and accessed by a response generated by the tag when polled by a transceiver.

Another application of interactive button tags is in a RF tag media controller. With the media controller, traditional music or video functions (e.g., play, stop, pause, fast forward, rewind) are represented by interactive buttons on, for example, a playing

15 card-size tag. When a user presses a button, the state of the tag changes to provide a response corresponding to the selected function to a polling transceiver integrated with the media player.

Interactive button tags may also be used in other control applications, for example, a "web card." In a preferred embodiment, the web card may have a similar

20 construction to the media controller discussed above, with one or more buttons each representing a different web page or site, or for otherwise controlling a web browser. A user may, for example, select a desired web site by pushing a button on the card. This selection is read by a transceiver integrated with a computer with access to the

World Wide Web with the result that the URL for the site is entered in a web browser on the computer and the site is accessed.

A further application for interactive RF tags may be in recording and playing back audio material associated with an item in which a tag is embedded or connected.

5 For example, a “memory binder” may include photographs, postcards and souvenirs from a user’s vacation. Each of the items in the binder may have associated with it one or more buttons for playing and/or recording information relating to the item.

10 The memory binder has associated with it a transceiver integrated with an electronic device or system having memory, circuitry and a speaker for storing and playing 10 audio messages relating to particular items in the binder. The device or system may also have a microphone and associated circuitry to enable the user to record a message relating to a particular item when an interactive RF tag button associated with the item is pressed. Alternatively, the audio messages may be prerecorded in a memory on a tag or accessible to a tag embedded in the item (e.g., a “talking postcard”).

15 Examples of electronic devices or systems having integrated transceivers for use in conjunction with a memory binder application of an interactive RF tag in accordance with the present invention are a computer system with audio capability or in a telephone with an associated memory access service provided by the telephone system operator. An electronic device designed specifically for the purpose of 20 providing the features needed by a memory binder as described above may also be constructed and used.

It will be noted that the interactive RF tag applications described herein are implemented using RF transceivers which are integrated with other electronic

devices, such as media players, telephones, and computers in order that the change of state in a tag which relates to a particular function of a device may be conveyed to the device. RF transceivers have conventionally been integrated with a variety of electronic devices in conventional applications of RF technology for tracking functions. Given the concept and principles of the present invention as described herein, one of ordinary skill in the art would be able to integrate RF transceivers into the new device types noted herein in order to implement applications of the present invention without difficulty.

Applications for sensor tags in accordance with the present invention include product monitoring tags. For example, products which are sensitive to environmental conditions, such as food and a variety of other commodities and consumer products, may have sensor tags such as described herein attached to them or to their packaging, shipping or storage containers. The tags can be configured to monitor a particular environmental condition (or more than one) and the tag can be read before the product is used to ensure that its safety or efficacy has not been compromised by exposure to a deleterious environmental condition.

Such tags are well-suited to being configured so that a change of state that occurs upon a particular deleterious environmental threshold being reached is maintained. For some applications, these tags are also well-suited to being combined with an output device to produce an output tag that will signal a change of state that occurs upon a particular deleterious environmental threshold being reached without the need for the tag memory to be read - when the tag is powered up upon polling by a

transceiver, the output device, such as an LED, signals the change of state to an observing user.

Output tags such as described herein may be useful to signal a change of state in an interactive RF tag in accordance with preferred embodiments of the present  
5 invention. Hybrid output tags may be used in any of the applications noted above to signal a change of state for functional or aesthetic purposes. In addition, as noted above, an output device may be included in a RF tag circuit so that a signal is generated whenever the tag is read, or in accordance with an external stimulus provided via the associated transceiver's RF signal and received at its antenna.

10        Although the foregoing invention has been described in some detail for purposes of clarity of understanding, it will be apparent that certain changes and modifications may be practiced within the scope of the appended claims. It should be noted that there are many alternative ways of implementing both the process and apparatuses of the present invention. Accordingly, the present embodiments are to be  
15        considered as illustrative and not restrictive, and the invention is not to be limited to the details given herein, but may be modified within the scope and equivalents of the appended claims.

*What is claimed is:*

## CLAIMS

1. An interactive radio frequency tag apparatus, comprising:  
a passive radio frequency transponder, including,  
an antenna,  
5 an interface for receiving an external stimulus, and  
one or more integrated circuits responsive to an external stimulus received at said interface to change the state of said transponder.

2. The apparatus of claim 1, wherein said interface comprises one or more buttons.

10 3. The apparatus of claim 1, wherein said interface comprises a sensor.  
4. The apparatus of claim 3, wherein said sensor detects temperature.  
5. The apparatus of claim 3, wherein said sensor detects light.  
6. The apparatus of claim 1, further comprising an output device.  
7. The apparatus of claim 6, wherein said output device generates a visible  
15 signal.  
8. The apparatus of claim 6, wherein said output device generates an audible signal.  
9. The apparatus of claim 6, wherein said output device generates a tactile signal.  
10. The apparatus of claim 1, wherein said apparatus is configured to exist in alternate states responsive to said external stimulus.  
20  
11. The apparatus of claim 1, wherein said apparatus is configured to exist in one of several states responsive to said external stimulus.  
12. The apparatus of claim 1, where said external stimulus comprises user manipulation.

13. The apparatus of claim 1, where said external stimulus comprises environmental exposure.

14. The apparatus of claim 2, wherein a button of said transponder is connected with a switch in a circuit including the antenna and the integrated circuit.

5 15. The apparatus of claim 14, wherein said button is pushed to close the switch and enable the transponder to be read by a polling transceiver.

16. The apparatus of claim 14, comprising a plurality of buttons connected with the switch of said transponder connected with the integrated circuit.

10 17. The apparatus of claim 16, wherein one of said plurality of buttons is pushed to close the switch thereby selecting a memory to provide a response to a polling transceiver.

18. The apparatus of claim 2, wherein a button of said apparatus is connected with a variable response switch connected with the integrated circuit.

15 19. The apparatus of claim 18, wherein said button is contacted by a user to operate said switch thereby selecting a memory to provide a response to a polling transceiver.

20. The apparatus of claim 4, wherein said sensor comprises a transducer and a variable voltage sensor.

21. The apparatus of claim 6, wherein said output device is at least one of a light-emitting diode and a speaker.

22. A method of changing the response provided by a polled radio frequency tag, comprising:

providing an interactive radio frequency tag apparatus, having,

a passive radio frequency transponder, including,

25 an antenna,

an interface for receiving an external stimulus, and

one or more integrated circuits responsive to an external stimulus received at said interface to change the state of said transponder; and

applying an external stimulus to said interface to change the state of said transponder.

23. The method of claim 22, further comprising generating a signal indicating that the state of said radio frequency transponder has changed.

5 24. The method of claim 23, wherein said signal is visible.

25. The method of claim 23, wherein said signal is audible.

26. The method of claim 23, wherein said signal is tactile.

27. The method of claim 22, wherein said interface, wherein said interface comprises one or more buttons.

10 28. The method of claim 22, wherein said interface comprises a sensor.

29. A radio frequency tag apparatus, having,

a passive radio frequency transponder, including,

an antenna,

an integrated circuit;

15 an output device responsive to a radio frequency signal received at said antenna to generate an output signal.

30. The apparatus of claim 29, wherein said output device is a light-emitting diode.

20

## INTERACTIVE RADIO FREQUENCY TAGS

### ABSTRACT OF THE DISCLOSURE

Provided are interactive RF tags. These tags are responsive to external stimuli to change state. Interactive radio frequency tags in accordance with the present invention preferably include a passive radio frequency transponder, having an antenna, an interface for receiving an external stimulus, and one or more integrated circuits responsive to the external stimulus received at the interface to change the state of the transponder. The nature of the interface and the corresponding external stimuli, as well as the change of state may vary substantially while remaining consistent with this inventive concept. For example, the tags may include buttons which may be pushed to provide the external stimulus required to produce a change of state. Another type of interactive RF tag is a "sensor tag" which changes state in response to a particular environmental stimulus. For example, if a tag is exposed to light or heat that reaches a given threshold, an alternate memory location containing information reflecting this fact is accessed when the tag is polled by a reader. In addition, either of these "button" or "sensor" features may be combined with an output feature which visually, audibly, tactiley or otherwise signals the state or change of state of an RF tag, or the tag may be designed to produce an output in response to the external stimulus of the RF signal received at the tag's antenna.

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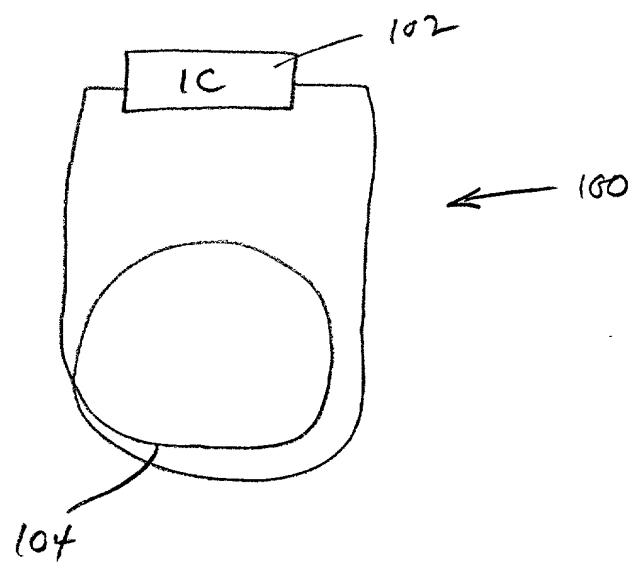


FIG. 1A

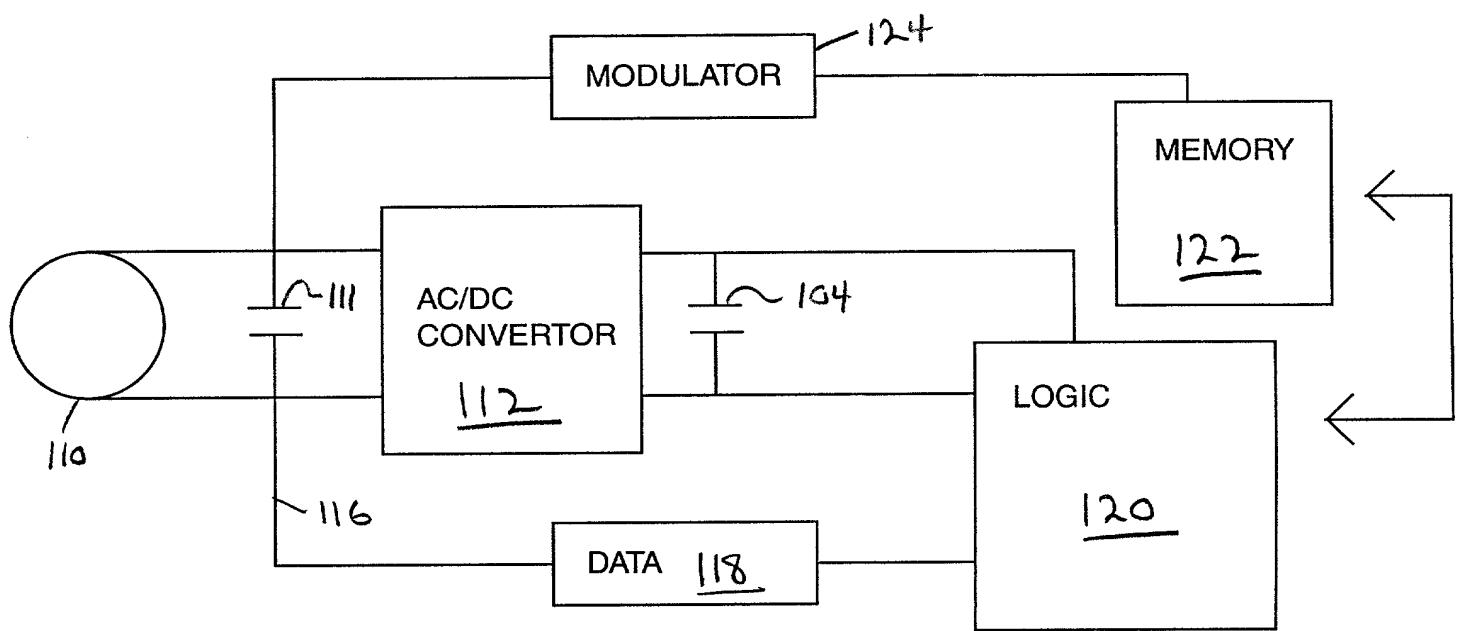


FIG. 1B

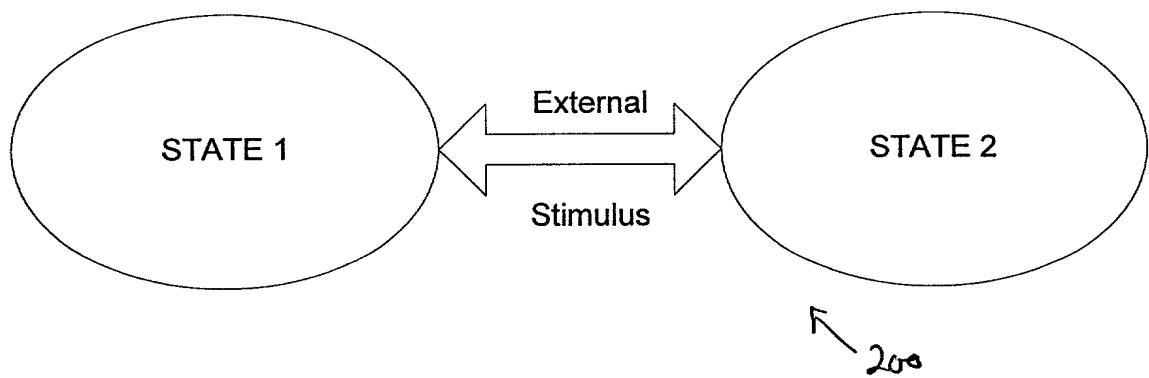


FIG. 2A

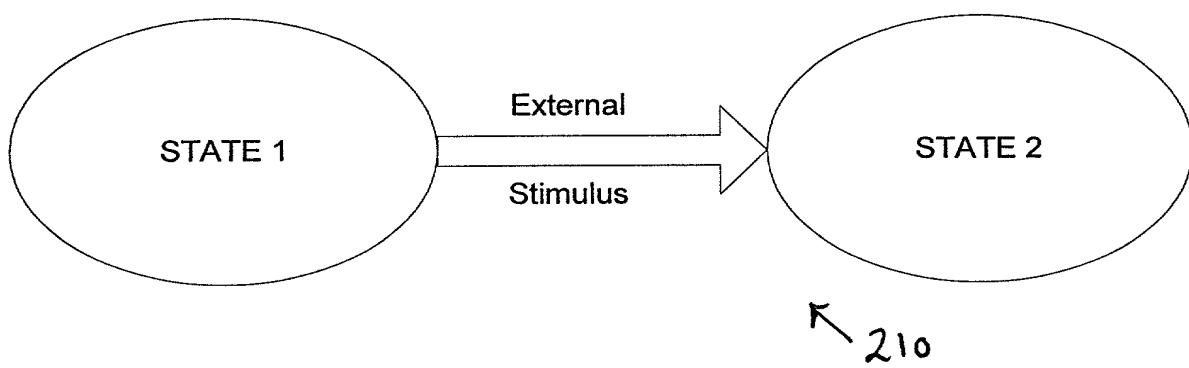


FIG. 2B

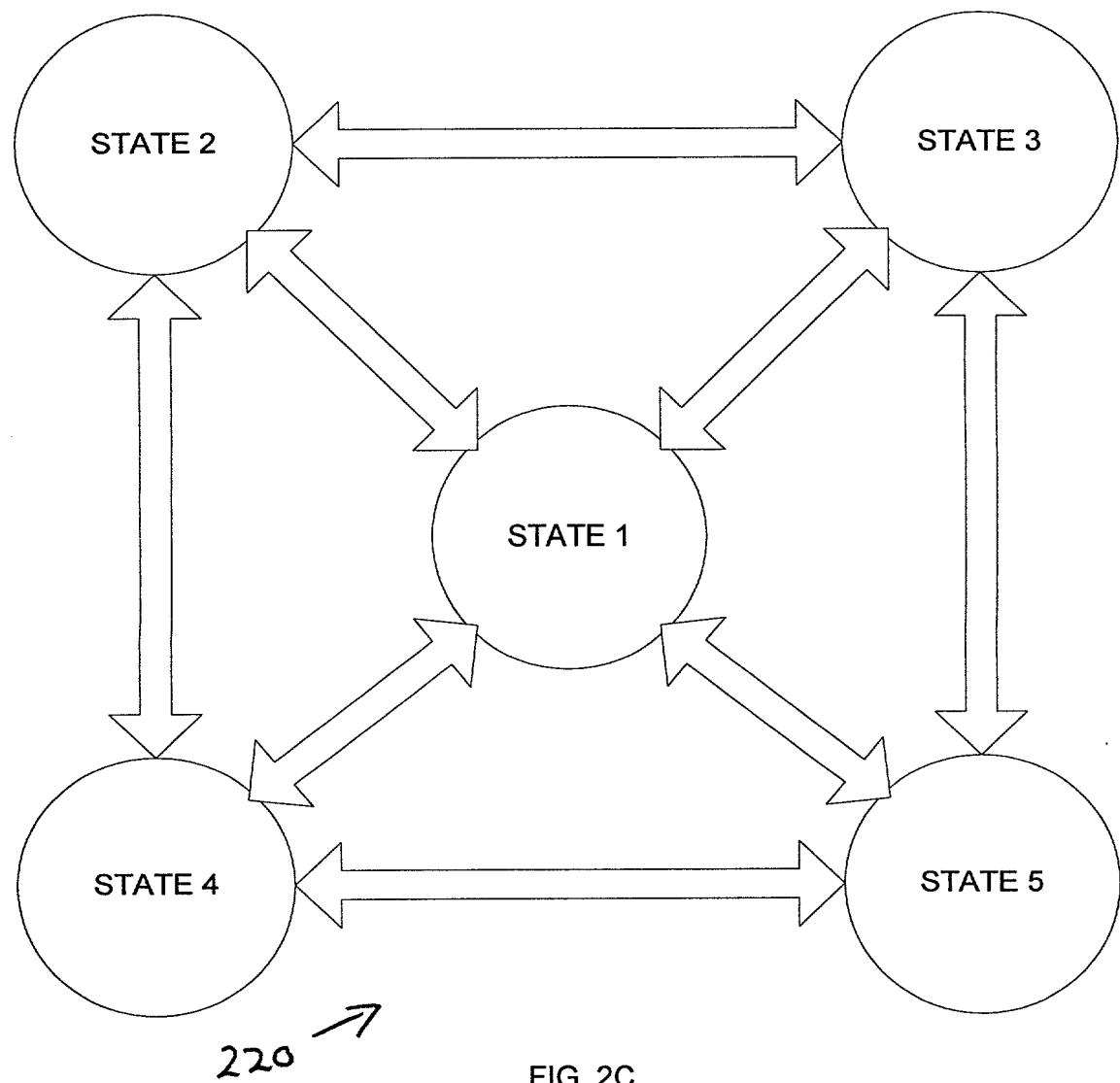


FIG. 2C

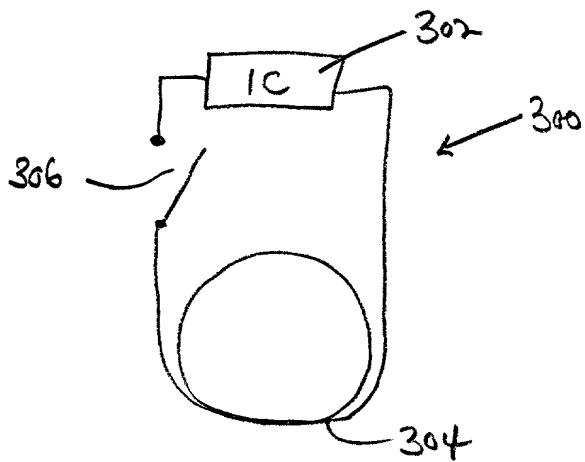


FIG. 3

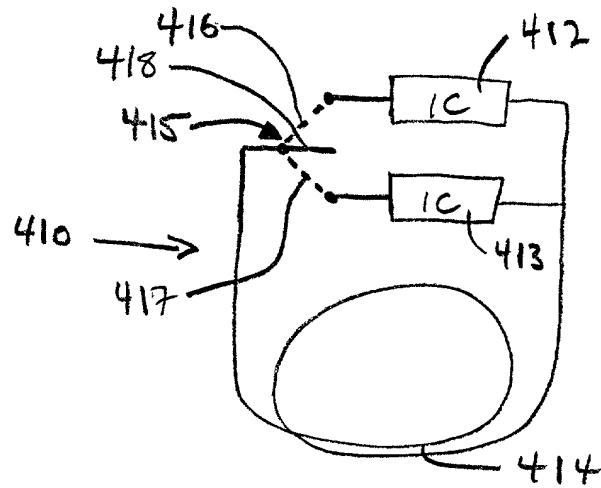


FIG. 4B

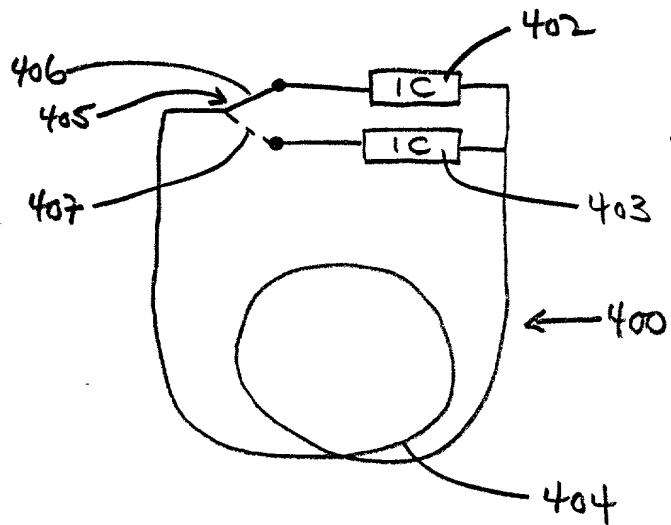


FIG. 4A

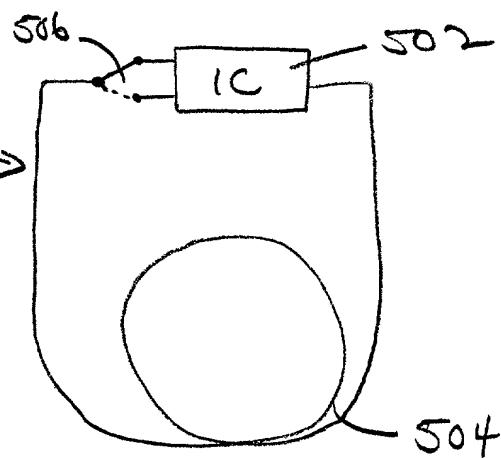


FIG. 5

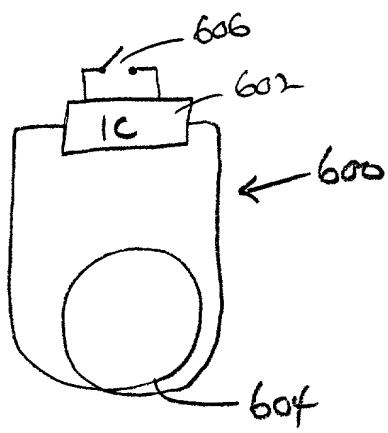


FIG. 6

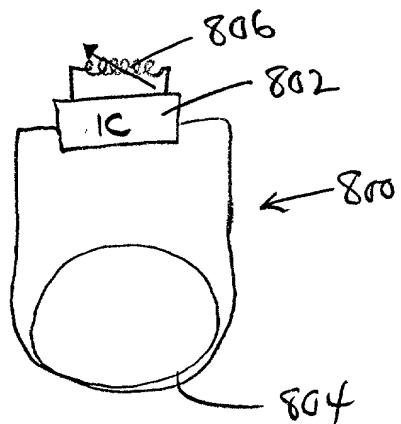


FIG. 8

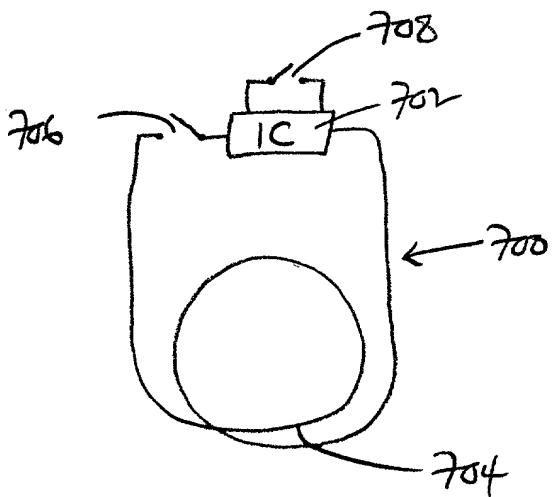


FIG. 7

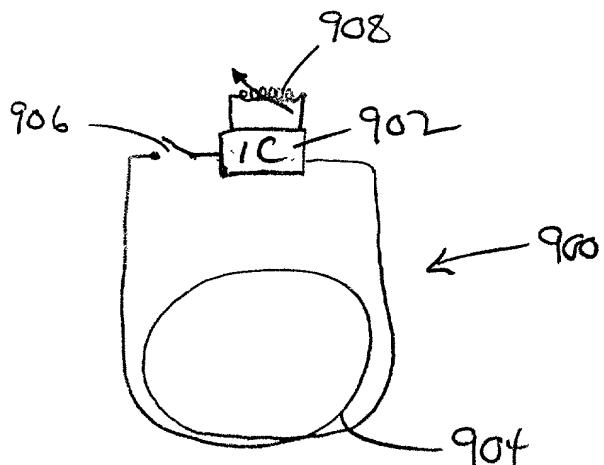


FIG. 9

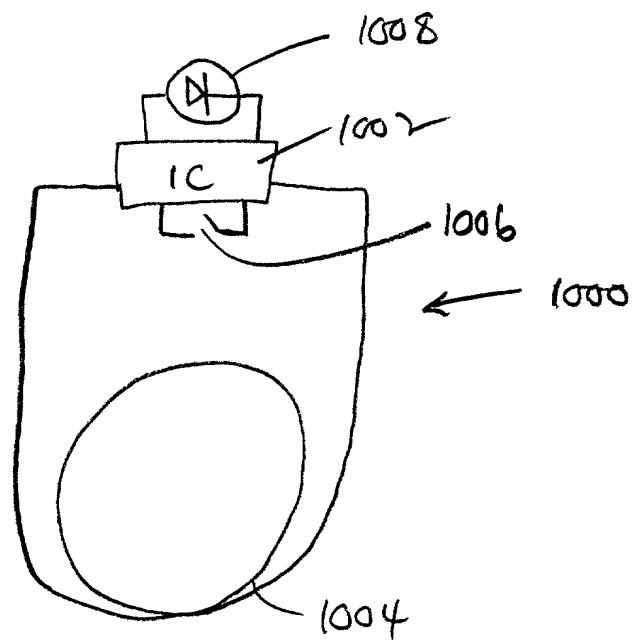


FIG. 10

# DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION

Attorney's Docket No.INT1P027

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: INTERACTIVE RADIO FREQUENCY TAGS the specification of which,

(check one)

1.  is attached hereto.
2.  was filed on \_\_\_\_\_ as  
U.S. Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.
3.  was filed on \_\_\_\_\_ as  
International PCT Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56.

## Prior Foreign Application(s)

I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

(Application No.) \_\_\_\_\_ (Country) \_\_\_\_\_ (Filing Date) \_\_\_\_\_

Priority Benefits Claimed?  
Yes \_\_\_\_ No \_\_\_\_

(Application No.) \_\_\_\_\_ (Country) \_\_\_\_\_ (Filing Date) \_\_\_\_\_

Yes \_\_\_\_ No \_\_\_\_

## Provisional Application(s)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

(Application No.) \_\_\_\_\_ (Filing Date) \_\_\_\_\_

(Application No.) \_\_\_\_\_ (Filing Date) \_\_\_\_\_

### Prior U.S. Application(s)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
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(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
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### Power of Attorney

And I hereby appoint the law firm of **Beyer & Weaver, LLP** and all practitioners who are associated with the Customer Number 022434 as my principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

#### Direct Correspondence To:

**Customer Number: 022434**

BEYER & WEAVER, LLP  
P.O. Box 61059  
Palo Alto, CA 94306



#### Direct Telephone Calls To:

James E. Austin at telephone number (510) 843-6200

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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